



# **RECRUITMENT AND SELECTION POLICY AND PROCEDURE**

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Lead Person	Director of HR

# **Recruitment and Selection Policy**

## **1. Introduction**

- 1.1 The aim of this Recruitment and Selection Policy and Procedure is to attract, select and retain staff who will successfully and positively contribute to the work and development of New College Durham Academies Trust (the 'Trust').
- 1.2 In addition, this policy and procedure aim to ensure that the Trust recruits and selects staff in a safe and fair manner at all times. Safeguarding and promoting the welfare of children and young people is an integral requirement in recruitment and selection processes and is an essential part of creating safe environments for children and young people.
- 1.3 It is also imperative that recruitment is planned and conducted with due regard to equality legislation and to ensure appointments are made on merit. However, recruitment processes will also be designed to attract suitable applicants within difficult labour markets, will consider the use of a wide range of attraction techniques and make appropriate use of the discretions within the Trust's Pay Policy.

## **2. Recruitment and selection policy statement**

- 2.1 This Trust is committed to attracting selecting, vetting and retaining employees who will successfully and positively contribute to its schools and students ensuring that they achieve their full potential. A motivated and committed workforce with appropriate knowledge, skills, experience and ability to do the job is critical to the Trust's and individual school's performance and fundamental to the delivery of high-quality educational services.
- 2.2 This policy seeks to ensure that the Trust demonstrates good practice regarding keeping children safe in education, with the aim of deterring and preventing people who are unsuitable to work with children from applying for or securing employment within the Trust. It will do this by basing its recruitment and selection procedures on the prevailing statutory guidance and good practice on safer recruitment and reviewing this practice as and when guidelines and practices change.
- 2.3 This Trust has adopted the following statement which details its safeguarding commitment and will be included in relevant recruitment documentation:

*New College Durham Academies Trust is committed to safeguarding and promoting the welfare of our students and young people. We have a robust Safeguarding Policy and all staff will receive training relevant to their role at induction and throughout employment with the Trust. We expect all staff and volunteers to share this commitment. This post is subject to a satisfactory enhanced Disclosure and Barring Service criminal records check for work with children.*

### **3. Scope**

- 3.1 This policy will apply to the appointment of both permanent and temporary roles within the Trust, and sets out the standards and stages for all recruitment activities. The safer recruitment principles of the policy should also be applied to the appointment and vetting of volunteers to ensure they are in appropriate placements and are suitable to work with children.
- 3.2 The policy applies to all individuals involved in the recruitment process including members of the Academy Trust Board (the ATB), sub-committees of the ATB and local Governing Bodies. Those involved at each stage of the recruitment process will demonstrate a professional approach by dealing honestly, efficiently and fairly with all internal and external applicants in line with the principles of public life.
- 3.3 The Trust will ensure it complies with statutory and good practice guidance around recruitment to all posts, including in the appointment of senior leadership roles. This includes the requirement to undertake a range of statutory pre-employment vetting checks on all staff as required by the Education (Independent School Standards) Regulations 2014 and as amended.
- 3.4 The relevant authority to appoint employees to the Trust is detailed in the Scheme of Delegation. Appointment to posts at Assistant Principal level and above, and Corporate Directors, will be made by the Academy Trust Board (ATB).

### **4. Equal opportunities**

- 4.1 This Trust is committed to providing equality of opportunity for all and ensuring that all stages of the recruitment and selection process are free from unlawful discrimination and bias. Recruitment and selection procedures may be monitored to ensure that applicants are not being discriminated against on the grounds of any protected characteristic; sex, race, disability age, religion or belief, sexual orientation, gender reassignment, marriage and civil partnership, pregnancy or maternity.
- 4.2 Applicants will be asked what adjustments they might require to participate in the recruitment process. The Trust will make every effort to make reasonable adjustments at each stage of the recruitment process.

### **5. Safer recruitment**

- 5.1 Safeguarding and promoting the welfare of children and young people is an integral part of the recruitment and selection process. Through each stage of this process the Trust will seek to deter and reject those applicants whom it considers unsuitable to work with children and young people.

5.2 To this end the following measures will be taken through the recruitment process:

- Information on the Trust's commitment to safeguarding children will be included in the advert, job description, person specification and any other relevant documentation (see paragraph 2.3 above)
- At least one member of every interview panel will have successfully completed accredited Safer Recruitment Training prior to the start of the recruitment process
- Comprehensive information will be obtained from all applicants which will be scrutinised, investigating any discrepancies or anomalies, including gaps in employment and education
- Shortlisted applicants will be asked to make a self-declaration of their criminal record or information that may make them unsuitable to work with children
- Specific questions relating to the applicant's suitability and motivation to work with children will be asked of each candidate at interview and their suitability to work with children will be explored
- Robust, relevant and appropriate references that fully cover the candidate's recent work history will be obtained, usually prior to interview
- Satisfactory statutory clearances will be obtained prior to the candidate commencing in post, except in exceptional circumstances and with an appropriate risk assessment

5.3 At all times the Trust will adhere to the legislative data protection requirements. All information gathered during the recruitment and selection process will be treated confidentially and handled and stored securely. Application and assessment data for unsuccessful applicants will be held for 6 months, or until any complaints arising from the process have been dealt with, and then confidentially destroyed. Any copies of identity or qualification information obtained through the interview process will be confidentially destroyed for unsuccessful candidates when an appointment decision has been made. Information collected in relation to successful applicants will be retained on their confidential employee files.

## **6. Disclosure and Barring Service conviction information**

6.1 The Trust will only request criminal conviction information and DBS disclosures where the post is exempt from the Rehabilitation of Offenders Act 1974 and the Exceptions Order 1975 as amended.

6.2 Applicants for roles with the Trust will only be asked to declare any relevant conviction information if they are selected for interview. For unsuccessful candidates, any information provided will be destroyed following the selection decision.

6.3 The Trust will treat conviction information in the strictest confidence as it is sensitive personal data. Such information will only be used, stored, retained and destroyed in line with the DBS Code of Practice and data protection legislation.

- 6.4 The Trust has adopted a policy on the Recruitment of Ex-Offenders which is available at appendix 3 of the Recruitment and Selection Procedure.

## **7. Individuals involved in the recruitment process**

- 7.1 Where possible, the same individuals will be involved throughout the whole recruitment and selection process i.e. planning, shortlisting and interviewing.
- 7.2 The shortlisting and selection panel will consist of at least two members but will usually have more depending on the post being filled. The members of the panel will have the necessary authority to make decisions about appointments and be appropriately trained (see paragraph 5.2 above). Where possible, interview panels will be balanced in terms of the protected characteristics e.g. gender, age, ethnicity, etc.
- 7.3 Where a candidate is known to a member of the selection panel, either personally or professionally, it should be declared when shortlisting takes place. All applicants must be considered on merit and it is, therefore, important to ensure there is no conflict of interest or perception of unfair advantage for any particular applicant. Where a potential conflict of interest or previous association has been declared, consideration will be given to changing the selection panel or adding additional members.

## **Recruitment and Selection Procedure**

### **1. Introduction**

- 1.1 This procedure details the stages within the recruitment and selection process. The Trust recognises that fair and robust recruitment practices are critical in achieving successful recruitment outcomes. A summary of the stages within the recruitment and selection procedure, and the safer recruitment considerations at each stage, are detailed in appendix 1.
- 1.2 The Safer Recruitment Candidate Checklist at appendix 2 details the safeguarding issues which should be considered for each applicant.

### **2. Planning**

- 2.1 Planning the recruitment and selection process is essential to maximise the opportunity for the Trust to attract applicants with the right skills, experience and values and minimise the risk of making unsuitable appointments.
- 2.2 Prior to taking the decision to advertise, the Trust will consider whether there is a need to replace the role on the same basis. The main options which can be considered are:
  - Replacement on a 'like for like' basis
  - Appointment of an apprentice
  - Whether this provides an opportunity to review the staffing structure or redistribute work to other existing employees. Where this option is considered there will be an appropriate discussion and consultation with affected staff and the impact on pay and grading will be considered.
- 2.3 Changes to the staffing establishment will be approved through the vacancy control process, and implemented in a way which gives consideration to the health and wellbeing of those affected. The decision not to replace a role on the same basis may negate or reduce the need for future staffing reductions.
- 2.4 Once the proposal to recruit has been approved, a range of planning activities and decisions may be undertaken including agreeing those involved in the process, defining the job role and the selection criteria to be used, preparing recruitment documentation and setting the timetable for recruitment.

### **3. Job Description and Person Specification**

- 3.1 The job description and person specification are essential tools and will be used throughout the recruitment and selection process.
- 3.2 A job description should be provided for all posts which set out the main duties and responsibilities of the post. The person specification will detail the skills, knowledge and experience required to do it. The job description and person specification will include the level of contact which the post has with children, criteria regarding the applicant's suitability to work with children and information on the Trust's commitment to safeguarding.

- 3.3 Whenever a new non-teaching post is introduced, it will be benchmarked against other similar posts within the Trust and/or evaluated under the Trust's job evaluation scheme.

#### **4. Attraction**

- 4.1 As a minimum, all posts will be advertised internally in the school in which they arise, and across the Trust and/or externally where appropriate. Those on parental leave and long-term sick leave should be made aware of how to access vacancies which arise during their absence, if appropriate. Where posts are advertised externally this may be on relevant websites and in relevant additional media as appropriate.
- 4.2 In exceptional circumstances, a post may not be advertised. This determination will be made by the Director of HR through the vacancy control process. This will usually only be for one of the following reasons:
- As a result of internal restructuring where an existing member of staff is at risk of redundancy
  - Where it is necessary to redeploy an existing employee for health reasons where they are unable to continue in their substantive position
  - Because a similar post has recently been advertised and an appointment can be made from the subsequent interviews (usually within 6 months of interview).
  - Because a fixed term or temporary appointment needs to be made as soon as possible to ensure continuity within the post, such as covering a long-term period of sickness absence
  - Where the opportunity is for a temporary responsibility (e.g. TLR) which an existing employee will undertake in addition to their substantive post
  - As a result of redeployment from another school within the Trust
- 4.3 Where the need for a fixed term post or temporary responsibility becomes a permanent one, the authorised recruiter (see paragraph 3.4 of the Policy), in consultation with HR, will consider whether it is appropriate for the post-holder to be offered the permanent contract or whether the post should be advertised. This will depend on the original reason for the post initially being fixed-term, any accrued employment rights, any subsequent changes in the needs of the school and Trust and the original recruitment process that was undertaken.

#### **Adverts**

- 4.4 The following minimum information will usually be included in adverts:
- Job title
  - Salary – including pro-rata values if part-time or term-time only
  - Hours of work and whether the role is full or part-time
  - Proposed start date
  - Whether the post is temporary or permanent
  - Summary of the job role and minimum requirements
  - How to access and return application forms

- Closing date for applications
- Information regarding the Trust's commitment to safeguarding and promoting the welfare of children
- The safeguarding responsibilities of the post
- That safeguarding checks will be undertaken for the successful candidate including obtaining a satisfactory enhanced DBS check for work with children
- Whether the post is exempt from the Rehabilitation of Offenders Act 1974 and the Exceptions Order 1975 as amended

4.5 Unless there are exceptional circumstances, vacancies will be advertised for at least one week, including one weekend, to give applicants sufficient time to apply.

### ***Application Form***

4.6 All applicants for posts advertised externally must complete the Trust's standard application form. CVs will not be accepted as an alternative to an application form and will not be used for short-listing when submitted with an application form. The use of standard application forms ensures that a common set of core data is obtained from all applicants.

4.7 The application form will:

- require applicants to provide:
  - their personal details, current and former names, current address and national insurance number
  - details of their present (or last) employment and reason for leaving
  - Full employment history since leaving school, including reasons for any gaps in employment
  - qualifications, the awarding body and date of award
  - details of referees, and
  - a statement of the applicant's personal qualities and experience and how they meet the person specification
- include a statement that it is an offence to apply for the role if the applicant is barred from engaging in regulated activity with children

4.8 Where an application is submitted electronically, applicants will be asked to physically sign a hard copy of the application should they be invited to interview.

4.9 Where posts are only advertised internally, the Trust may use an alternative method of application e.g. expression of interest.

### ***Application Pack***

4.10 For all posts advertised externally the Trust will produce an application pack which will be made available to all applicants. The Trust recognises that the pack is critical in encouraging candidates to apply for the post and providing information regarding the vision and strategy of the Trust and the individual school.



- 4.11 The application pack will also include information regarding the Trust's commitment to safeguarding and that the successful candidate will be required to obtain a satisfactory enhanced DBS check. The Trust will include in the application pack either a copy of, or website link to, the Trust's Safeguarding Policy.

## **5. Shortlisting**

- 5.1 Shortlisting will be undertaken by a minimum of two people, who will usually be those involved in the interview and selection processes.
- 5.2 Applications will be scrutinised carefully to ensure they are fully and properly completed. Particular attention will be paid to the reasons for leaving any previous childcare employment where the applicant no longer works with children. Incomplete applications may not be accepted and may be disregarded or returned to the candidate for completion.
- 5.3 Any gaps in employment and education will be identified and a written explanation obtained from the applicant, usually during the selection process, but as a minimum once a provisional offer of employment is made.
- 5.4 Candidates will be assessed equally against the job description and person specification according to the agreed criteria. Candidates who do not meet the essential requirements should not be shortlisted.
- 5.5 Where it is not possible to determine, from the application form, whether a candidate fully meets a criterion they may be progressed to the shortlist and further evidence will be sought through the selection process.
- 5.6 If, following assessment against the essential criteria, the short-list is too long, the desirable criteria may be used to reduce the shortlist to a manageable size. A written record will be kept of the shortlisting process.
- 5.7 Shortlisted candidates will be invited to attend the selection process. The interview notification should detail the relevant arrangements, the selection activities and who will be involved from the Trust.
- 5.8 At this point, candidates will be asked to complete a self-declaration of their criminal record or information that may make them unsuitable to work with children (available at appendix 4). Disclosure enables candidates to share relevant information and allows this to be discussed and considered at interview. This will include as applicable to the role:
- If they have a criminal history
  - Whether they are included on the barred list
  - Whether they are prohibited from teaching
  - If they are known to the police and children's social care
  - Information about any criminal offences committed in any country in line with the law as applicable in England and Wales
  - Any relevant overseas information

- 5.9 Candidates will only be asked to declare cautions and convictions which could appear on a DBS disclosure certificate and will not be required to declare protected convictions and cautions which have been filtered.
- 5.10 Information disclosed during the self-declaration process may be discussed at interview. Where a shortlisted applicant discloses information, which would make it unlawful for the school to employ that individual, the offer of interview may be withdrawn. Where a self-declaration is submitted electronically, the applicant will be asked to physically sign it when they attend for interview.
- 5.11 The applicant may be asked to bring relevant identity and qualification documentation to the interview to enable the Trust to undertake relevant vetting checks. The Trust will retain copies of any documents used to verify the candidate's identity and qualifications on their confidential personal file if they are appointed. For unsuccessful candidates, additional data collected at this stage will be confidentially destroyed when an appointment decision has been made.

### ***Employment References***

- 5.12 Employment references for short-listed candidates will be requested prior to interview, where at all possible, so that information can be shared in advance with the selection panel and discussed with the candidate.
- 5.13 The Trust will seek to obtain a minimum of two written references and these will be requested on a standard reference pro forma, usually prior to interview. Referees should be able to comment on the applicant's suitability for the role being recruited to, including their suitability to work with children, and one will be from the applicant's current or most recent employer.
- 5.14 Where the referee is school based, the request will ask that the reference is confirmed as accurate by the Headteacher/Principal in respect to disciplinary investigations.
- 5.15 Where an applicant previously worked in childcare employment but no longer does so, they will be required to provide a referee from that organisation.
- 5.16 Additional checks will be taken to ensure the legitimacy of references and referees including where these have been obtained electronically.
- 5.17 Information included in references will be checked for consistency with information provided by the candidate and obtained through the assessment and appointment process.

## **6. Assessment**

- 6.1 As a minimum the selection process will be based on completion of an application form/expression of interest, shortlisting and face-to-face interview. The interview will assess the merits of each candidate against the agreed criteria based on the job description and person specification, and explore their suitability to work with children and young people.

- 6.2 Other selection methods may be used depending on the role that is being recruited. Any methods used must be relevant to the post being appointed to and free from unlawful discrimination. All interview and assessment events are a two-way process: they are an opportunity for the panel to select the best candidate for the role, and also an opportunity for a candidate to determine whether the job and the school and Trust are right for them.
- 6.3 Prior to the interview the panel members should meet to:
- Reach a consensus about the required standard for the job to which they are appointing
  - Consider the issues to be explored with each candidate, including any issues, inconsistencies or gaps identified from the application form, the references and the self-declaration forms, and who will ask about each of those
  - Agree their assessment criteria in accordance with the job description and person specification including the core questions which all candidates will be asked
  - Agree the questions which will be asked regarding suitability and motivation to work with children, attitude towards safeguarding and what attracted them to the post
- 6.4 Individual candidates will be assessed against the agreed assessment criteria and these assessments recorded in writing.
- 6.5 The Trust will make reasonable adjustments for applicants, should they be required, in order to enable them to attend and participate fully with the selection and assessment process.

## **7. Selection**

- 7.1 Once the formal assessment process is completed, all members of the selection panel will reach a final decision. The selection will be made on the basis of which individual best fits the criteria set at the start of the recruitment process, using the scores and results of any assessments. A written record of the selection decision will be made.
- 7.2 The Trust may choose not to appoint should none of the candidates meet the required standard or to secure the best possible candidate.
- 7.3 All candidates who have attended the assessment process should be notified of the outcome of the process. Unsuccessful candidates will be offered feedback should they wish to receive this.

## **8. Conditional offer of employment**

- 8.1 All offers of employment will be made on a conditional basis subject to:
- The receipt of at least two satisfactory written references
  - A written record of the candidate's full educational and employment history included documented reasons for any gaps

- Verification of the candidate's identity, including checking the name on the birth certificate where this is available
  - Verification of eligibility to work in the UK
  - Verification of the candidate's mental and physical fitness to undertake the duties of the role, with reasonable adjustments if applicable
  - Verification of educational and professional qualifications
  - A satisfactory Enhanced Disclosure and Barring Service criminal record check for work with children, with Children's Barred List check if relevant
  - Overseas criminal records check or certificate of good conduct (if applicable)
  - (for teaching posts) Letter of professional standing from the relevant regulatory authority where the applicant has worked overseas as a teacher (if applicable)
  - (for teaching posts) verification of successful completion of statutory induction period if relevant (applicable to those who obtained QTS after 7 May 1999)
  - (for those undertaking teaching work) confirmation that the candidate is not subject to a prohibition order issued by the Secretary of State or has any current sanctions or restriction imposed
  - Section 128 check for those taking up a relevant management position
- 8.2 New employees will usually not commence until the satisfactory completion of pre-employment checks. A member of staff may only commence prior to the return of the DBS criminal records check with the express permission of the Director of HR and under a documented risk assessment which should be reviewed at least every two weeks. In such cases, a separate check will be made of the Children's Barred List prior to commencement.
- 8.3 If a DBS disclosure contains any information, which may or may not have been disclosed through the selection process, final appointment approval must be sought from the Director of HR. Where appropriate, a documented risk assessment will be undertaken and discussed with the applicant.
- 8.4 Where a candidate has not disclosed relevant information, in the course of the self-declaration process, which is revealed when the DBS disclosure is issued, further discussion will be held with the candidate prior to an employment decision being reached. The Trust's policy on the Recruitment of Ex-Offenders policy is available at appendix 3.
- 8.4 All pre-employment vetting checks will be followed up where they are unsatisfactory or there are discrepancies in the information provided. In addition, they will be:
- Confirmed in writing
  - Retained on the personal file (subject to certain restrictions on the retention of information imposed by DBS regulations)
  - Recorded on the relevant single central record

## Appendix 1 – Summary of stages in the Recruitment and Selection Procedure

Stages	Safeguarding considerations
<b>Planning</b> <ul style="list-style-type: none"> <li>Consider the basis on which the post needs to be filled</li> <li>Consider who will be involved in the process and whether they have appropriate training</li> <li>Assess what the requirements of the role are</li> <li>Ensure sufficient time is planned for the process and dates are set for assessment activities</li> <li>Seek approval to recruitment through the vacancy control process</li> </ul>	<ul style="list-style-type: none"> <li>Ensure at least one member of the interview panel has received safer recruitment training</li> </ul>
<b>Job description and person specification</b> <ul style="list-style-type: none"> <li>Ensure an up to date job description and person specification is available</li> <li>Detail the skills, abilities, experience, attitude and behaviours required for the post</li> <li>Consider if the post needs to go through a job evaluation process</li> </ul>	<ul style="list-style-type: none"> <li>Include safeguarding statement in job description</li> <li>Specify contact and responsibility the role will have for children in the person specification</li> </ul>
<b>Attraction</b> <ul style="list-style-type: none"> <li>Consider the target audience and best way to attract potential candidates</li> <li>Advertise and provide candidates with comprehensive application pack</li> </ul>	<ul style="list-style-type: none"> <li>Include safeguarding commitment in adverts and application pack</li> <li>Confirm that the post is exempt from the ROA 1974 and that safeguarding checks will be undertaken</li> <li>Include copy of or link to child protection policy</li> <li>Use standard application forms which request common set of core data</li> </ul>
<b>Shortlisting</b> <ul style="list-style-type: none"> <li>Scrutinise application forms identifying any gaps, inconsistencies or concerns</li> <li>Use agreed criteria linked to person specification to determine shortlist</li> <li>Invite candidates to interview</li> <li>Request references</li> </ul>	<ul style="list-style-type: none"> <li>Identify issues to be discussed at interview</li> <li>Request applicants complete self-declaration around criminal record and suitability information</li> <li>Consider whether applicants will be required to bring ID and qualification certificates to interview</li> <li>Ensure referees are appropriate and ask for additional or alternative referees if necessary e.g. previously worked for a childcare organisation</li> </ul>

<p><b>Assessment</b></p> <ul style="list-style-type: none"> <li>• Undertake interview and other selection processes</li> <li>• Ask questions which assess the skills and behaviours essential or desirable to the role</li> </ul>	<ul style="list-style-type: none"> <li>• Ask questions about safeguarding and motivation to work with children</li> <li>• Discuss any issues, anomalies or gaps from the application form and references</li> <li>• Discuss any information provided through the self-declaration process</li> <li>• Ask applicant to sign applications and self-declarations which have been submitted electronically</li> </ul>
<p><b>Selection</b></p> <ul style="list-style-type: none"> <li>• Make appointment decision and record process and outcome</li> <li>• Make conditional offer subject to required clearances</li> <li>• Notify unsuccessful candidates &amp; provide feedback</li> <li>• Hold application and assessment documentation for unsuccessful candidates for 6 months and then destroy</li> </ul>	<ul style="list-style-type: none"> <li>• Ensure all relevant information has been collected for successful candidate and that it is consistent and there are no gaps</li> <li>• Consider any criminal conviction or additional suitability information disclosed</li> <li>• Check references including for consistency</li> </ul>
<p><b>Conditional offer of employment</b></p> <ul style="list-style-type: none"> <li>• Issue conditional offer letter detailing vetting checks required</li> <li>• Commence DBS process</li> <li>• Ensure full range of vetting checks are undertaken</li> <li>• Place all of the successful applicant's documents on confidential personal file</li> </ul>	<ul style="list-style-type: none"> <li>• Ensure all checks are documented including gaps in employment and education</li> <li>• Discuss any information disclosed on DBS with candidate, discuss with relevant DBS decision maker and make employment decision</li> <li>• Record vetting checks on relevant Single Central Record</li> </ul>

## Appendix 2

### Safer Recruitment Candidate Checklist

Shortlisted Candidate Name/Ref No		Y/N	Notes/Actions Required	By whom and by when
<b>Application form information/additional information provided by the candidate</b>	Is the application form fully completed?			
	Is there any information from the candidate which gives cause for concern? Are there any gaps in employment and education history? Any concerns about reason for leaving? etc.			
	Has the candidate worked abroad? If yes, then can they provide a certificate of good conduct and a letter of professional standing (teaching only) for each country?			
	Have any issues to be followed up at interview been identified?			
<b>Self-declaration process</b>	Has the employee disclosed any information through the self-declaration process?			
<b>Reference requests</b>	Are referees suitable persons? Is one of the referees the current employer? Is one referee from last employment working with children and young people? Does the candidate need to be approached to request different/additional references?			
	Requested prior to interview? (only if permission granted by the applicant)			
	Sent to place of work, not home address?			

<b>Reference information</b>	Have at least two suitable written references been received?			
	Are the references fully completed and all information requested included and all questions answered?			
	Is there any information from referees which gives cause for concern? Could this be a compromise agreement reference?			
	Are applicant's and referees' information consistent?			
	Has reference information been verified with referee?			
	Issues to be followed up at interview identified?			
<b>Pre-Interview consideration</b>	Should the interview go ahead?			
<b>Interview</b>	Questions asked about suitability to work with and safeguarding and promoting the welfare of children and young people and followed up with supplementary questions where necessary			
	Additional questions asked and followed up with supplementary questions where necessary			
	Candidate reminded that appointments subject to satisfactory Enhanced DBS, TRA check (teaching only), right to work in UK and references, and asked if there is anything they wish to declare. Additional overseas checks may be required if individual has lived or worked abroad.			
	If applicable, documents relating to Identity,			



	change of name D.O.B and qualifications seen, scrutinised and photocopied			
	Was any information provided through the self-declaration process and has this been discussed?			
	Is there any information/concern which suggests that the candidate should be reported to police/ DBS /Regulatory body?			
	Is there any information which suggests that the candidate may be unsuitable to work with children or young people and should not be offered the post?			
	Is all of the information consistent? Is there any information/concern which needs to be followed up further?			
<b>Offer of appointment</b>	Is a conditional offer to be made?			
	Pre-employment vetting checks undertaken and employee personnel file checklist completed.			
	Candidate not permitted to start work until all checks completed. Are additional checks required where it has not been possible to obtain certificate of good conduct or letter of professional standing where applicant has lived or worked overseas? In <b>exceptional</b> circumstances a candidate may begin work prior to receipt of checks and references only in circumstances where a risk assessment has been undertaken and risk control measures have been put in place			

	<p>e.g. no unsupervised access to children. A Children's Barred List check must be made before commencement. It is made clear that should any of the checks be unsatisfactory that employment offer will be withdrawn.</p>			
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# RECRUITMENT OF EX-OFFENDERS POLICY

Policy Title	Recruitment of Ex-Offenders
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Policy Lead	Director of HR

## **Recruitment of Ex-Offenders Policy Statement**

1. New College Durham Academies Trust (“the Trust”), as an organisation assessing applicants’ suitability for positions which are included in the Rehabilitation of Offenders Act 1974 (Exceptions) Order using criminal record checks processed through the Disclosure and Barring Service (DBS), complies fully with the DBS code of practice (available at <https://www.gov.uk/government/publications/dbs-code-of-practice>) and undertakes to treat all applicants for positions fairly.
2. The Trust undertakes not to discriminate unfairly against any subject of a criminal record check on the basis of a conviction or other information revealed.
3. The Trust can only ask an individual to provide details of convictions and cautions that it is legally entitled to know about. Where a DBS certificate can legally be requested (where the position is one that is included in the Rehabilitation of Offenders Act 1974 (Exceptions) order 1975 as amended, and where appropriate Police Act Regulations as amended) the Trust can only ask an individual about convictions and cautions that are not protected.
4. The Trust is committed to the fair treatment of its staff and potential staff, regardless of race, gender, religion, sexual orientation, responsibilities for dependents, age, physical/mental disability or offending background.
5. The Trust has this written policy on the recruitment of ex-offenders, which is made available to all DBS applicants at the start of the recruitment process.
6. The Trust actively promotes equality of opportunity for all with the right mix of talent, skills and potential and welcome applications from a wide range of candidates, including those with criminal records. Candidates are selected for interview based on their skills, qualifications and experience and criminal record information is only requested from short-listed candidates.
7. A disclosure is only requested from the DBS after a thorough risk assessment has indicated that one is both proportionate and relevant to the position concerned. For those positions where a criminal record check is identified as necessary, all application forms, job adverts and recruitment briefs will contain a statement that a DBS certificate will be requested in the event of the individual being offered the position.
8. The Trust ensures that all those who are involved in the recruitment process have been suitably trained to identify and assess the relevance and circumstances of offences.
9. The Trust also ensures that they have received appropriate guidance and training in the relevant legislation relating to the employment of ex-offenders, e.g. the Rehabilitation of Offenders Act 1974.
10. At interview, or in a separate discussion, this Trust ensures that an open and measured discussion takes place on the subject of any offences or other matter that might be relevant to the position. Failure to reveal information that is directly relevant to the position sought could lead to withdrawal of an offer of employment.
11. The Trust makes every subject of a criminal record check submitted to DBS aware of the existence of the code of practice and makes a copy available on request (available at <https://www.gov.uk/government/publications/dbs-code-of-practice>).
12. The Trust undertakes to discuss any matter revealed on a DBS certificate with the individual seeking the position before withdrawing a conditional offer of employment.

(Source [www.gov.uk](https://www.gov.uk))



## Self-declaration for Shortlisted Candidates

Congratulations on being shortlisted for a role with New College Durham Academies Trust.

You are being asked to complete this self-declaration as part of our safer recruitment procedures which are aimed at deterring and preventing unsuitable people from working with children. The selection panel will make decisions about your suitability based on an assessment of a range of factors and evidence, including the information provided below.

Please complete the information requested on this form and return it to the HR team at [HR@ncdat.org.uk](mailto:HR@ncdat.org.uk) or by post at the relevant school, prior to your interview. Any information you provide will be treated in the strictest confidence.

Should you be offered employment with the school this will be subject to a range of pre-employment checks including a satisfactory enhanced Disclosure and Barring Services check for work with children, with a barred list check if working in regulated activity.

### There are three sections for you to complete:

- Criminal conviction information
- Other suitability checks
- Declaration

If you answer 'yes' to any question, you have two options on how to share relevant information with the school as follows:

**Option 1:** You may provide details of your criminal record or other relevant information in the space below in **Section 3 – Declaration**. Continue on a separate sheet if required and attach to this form.

**Option 2 -** You can disclose your criminal record or other relevant information under a separate cover and attach the details in a separate document or, if returning via post, an envelope stapled to this form. The envelope should be marked **CONFIDENTIAL** and state your name and the details of the post.

Should you fail to disclose any relevant information, this could result in the withdrawal of an offer of employment, disciplinary proceedings or dismissal.

## Section 1 - Criminal conviction information

The post for which you have applied is exempt from the Rehabilitation of Offenders Act 1974 and therefore you are required to declare:

- All unspent convictions and conditional cautions
- All spent convictions and adult cautions that are not protected (i.e. that are not filtered out) as defined by the Rehabilitation of Offenders Act 1974 (Exceptions) order 1975 (as amended in 2013 and 2020)

The amendments to the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (2013 and 2020) provides that when applying for certain jobs and activities, certain convictions and cautions are considered 'protected'. This means that they do not need to be disclosed to employers, and if they are disclosed, employers cannot take them into account.

Further information regarding filtering, including which offences will never be filtered, is available on the Gov.uk website: <https://www.gov.uk/government/publications/dbs-filtering-guidance/dbs-filtering-guide>. If you are unsure about which offences you are required to disclose further information is available here: <https://www.gov.uk/tell-employer-or-college-about-criminal-record>.

Alternatively, the following organisations provide individual, confidential advice and guidance on the disclosure of conviction information:

- **NACRO** - <https://www.nacro.org.uk/criminal-record-support-service/>
- **Unlock** - <https://www.unlock.org.uk/>

Do you have any unspent convictions or conditional cautions under the Rehabilitation of Offenders Act (1974)?	Yes	No
Do you have any spent adult cautions (simple or conditional) or convictions that are not 'protected' as defined by the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (as amended)?	Yes	No

## Section 2 – Other suitability checks

Please complete all of the following questions. Where the question is not applicable to the role for which you are applying, please answer 'not applicable' (n/a), otherwise the question must be answered 'yes' or 'no'. Some questions must be answered by all applicants, in which case the 'n/a' option is not available.

Have you been barred from working with children and/or are you included on the Children's Barred List?	Yes*	No	
Have you committed any criminal offences in any country which would have led to a conviction based on the law in England and Wales?	Yes	No	
Is there any other relevant information from time spent living and working overseas which may be relevant to your application?	Yes	No	

Are you known to the police and/or children's social care?	Yes	No	
Have you had a prohibition or interim prohibition order made against you by the Secretary of State following consideration by a professional conduct panel of the Teaching Regulation Agency? Only to be answered by those applying to undertake teaching work e.g. all teaching roles and HLTAs. All other applicants should answer 'n/a'.	Yes**	No	n/a
Do you have any disciplinary sanctions, that remain current, which were imposed by the GTCE prior to its abolition? Only to be answered by those applying to undertake teaching work e.g. all teaching roles and some HLTAs. All other applicants should answer 'n/a'.	Yes	No	n/a
Have you been prohibited from taking part in the management of an independent school? Only to be answered by those applying for a management position. All other applicants should answer 'n/a'.	Yes**	No	n/a

\* It is an offence to apply for this role if you are barred from working in regulated activity with children. The school will take appropriate reporting action in such situations.

\*\* The school is unable to employ you if you answer 'yes' to these questions. Therefore, the offer of interview will be withdrawn if a positive answer is confirmed to be accurate. In such situations, please contact the school who will advise further.

### Section 3 - Declaration

**Option 1** - Please use this space to provide details of your criminal record or other relevant information which you have declared elsewhere on this form. Please continue on a separate sheet if necessary and attach to this form.

**Option 2** - I have attached details of my conviction(s) separately ☐ (Please tick if appropriate)

I declare that the information provided on this form, and attached as additional sheets or in a separate envelope, is complete and correct. I understand that I will be required to physically sign this declaration when I attend for interview, should I submit it electronically.

I understand that if I fail to declare any relevant information this could result in the withdrawal of an offer of employment, disciplinary proceedings or dismissal.

I consent to the information provided on this form being shared, on a confidential basis, with members of the selection panel for the purposes of assessing my suitability for this role and to work with children.

I understand that I will be required to have a satisfactory Enhanced DBS for work with children (with barred list check if working in regulated activity) and that other pre-employment checks will be undertaken, if I am made a conditional offer of employment.

Signature:		Date:	
Print Name:			
Post applied for:			

Further information about how the Trust uses, processes and stores criminal conviction information is available in our Recruitment of Ex-offenders Policy which are available from the Trust on request.